

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U24100GJ2015PTC085247

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAGCG2049A

(ii) (a) Name of the company

GACL-NALCO ALKALIES & CHE.

(b) Registered office address

GACL CORPORATE BUILDING
P.O. PETROCHEMICALS
VADODARA
Vadodara
Gujarat
392016

(c) *e-mail ID of the company

manish.mistry@gacl.co.in

(d) *Telephone number with STD code

02652222435

(e) Website

www.gnal.co.in

(iii) Date of Incorporation

04/12/2015

| (iv) | Type of the Company | Category of the Company | Sub-category of the Company |
|------|---------------------|---------------------------|-------------------------------|
| | Private Company | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(vii) Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|---|------------------------------|
| 1 | C | Manufacturing | C6 | Chemical and chemical products, pharmaceuticals, medicinal chemical and | 0 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

| S.No | Name of the company | CIN / FCRN | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|---------------------------|-----------------------|--|------------------|
| 1 | GUJARAT ALKALIES AND CHEM | L24110GJ1973PLC002247 | Holding | 60 |
| 2 | NATIONAL ALUMINIUM CO LTI | L27203OR1981GOI000920 | Associate | 40 |

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares | 690,000,000 | 690,000,000 | 690,000,000 | 690,000,000 |
| Total amount of equity shares (in Rupees) | 6,900,000,000 | 6,900,000,000 | 6,900,000,000 | 6,900,000,000 |

Number of classes

| Class of Shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|-----------------|--------------------|----------------|--------------------|-----------------|
| | | | | |

| | | | | |
|---|---------------|---------------|---------------|---------------|
| Number of equity shares | 690,000,000 | 690,000,000 | 690,000,000 | 690,000,000 |
| Nominal value per share (in rupees) | 10 | 10 | 10 | 10 |
| Total amount of equity shares (in rupees) | 6,900,000,000 | 6,900,000,000 | 6,900,000,000 | 6,900,000,000 |

(b) Preference share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares | 0 | 0 | 0 | 0 |
| Total amount of preference shares (in rupees) | 0 | 0 | 0 | 0 |

Number of classes

0

| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of preference shares | | | | |
| Nominal value per share (in rupees) | | | | |
| Total amount of preference shares (in rupees) | 0 | | | |

(c) Unclassified share capital

| Particulars | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0 |

(d) Break-up of paid-up share capital

| Class of shares | Number of shares | | | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------------|-------------|----------------------|----------------------|---------------|
| | Physical | DEMAT | Total | | | |
| Equity shares | | | | | | |
| At the beginning of the year | 0 | 600,000,000 | 600,000,000 | 6,000,000,000 | 6,000,000,000 | |
| Increase during the year | 0 | 90,000,000 | 90,000,000 | 900,000,000 | 900,000,000 | 0 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Rights issue | 0 | 90,000,000 | 90,000,000 | 900,000,000 | 900,000,000 | |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 0 | 0 | 0 | 0 | 0 |
| v. ESOPs | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|-------------------------------------|---|-------------|-----------|-------------|------------|---|
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | 0 |
| x. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Buy-back of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 0 | 690,000,000 | 690000000 | 6,900,000,0 | 6,900,000, | |
| Preference shares | | | | | | |
| At the beginning of the year | 0 | 0 | 0 | 0 | 0 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Issues of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Re-issue of forfeited shares | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Redemption of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 0 | 0 | 0 | 0 | 0 | |

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

| Class of shares | | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares | | | |
| | Face value per share | | | |
| After split / Consolidation | Number of shares | | | |
| | Face value per share | | | |

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

| | | | |
|--|----------------------|--|----------------------|
| Date of the previous annual general meeting | | <input type="text"/> | |
| Date of registration of transfer (Date Month Year) | | <input type="text"/> | |
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock | |
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
| Ledger Folio of Transferor | | <input type="text"/> | |
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |
| Ledger Folio of Transferee | | <input type="text"/> | |

| | | | |
|---|----------------------|--|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |
| Date of registration of transfer (Date Month Year) <input type="text"/> | | | |
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock | |
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
| Ledger Folio of Transferor <input type="text"/> | | | |
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |
| Ledger Folio of Transferee <input type="text"/> | | | |
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

(iv) *Debentures (Outstanding as at the end of financial year)

| Particulars | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 |
| Total | | | 0 |

Details of debentures

| Class of debentures | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures | 0 | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 | 0 |

(v) Securities (other than shares and debentures)

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
| | | | | | |
| | | | | | |
| Total | 0 | | | | 0 |

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

0

(ii) Net worth of the Company

6,851,038,039

VI. (a) *SHARE HOLDING PATTERN - Promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 0 | 0 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 276,000,000 | 40 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |

| | | | | | |
|-----|---|-------------|-----|---|---|
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 414,000,000 | 60 | 0 | |
| 10. | Others | 0 | 0 | 0 | |
| | Total | 690,000,000 | 100 | 0 | 0 |

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 0 | 0 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 0 | 0 | 0 | |
| 10. | Others | 0 | 0 | 0 | |

| | | | | | |
|--|--------------|---|---|---|---|
| | Total | 0 | 0 | 0 | 0 |
|--|--------------|---|---|---|---|

Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/ Other than promoters)

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details | At the beginning of the year | At the end of the year |
|--------------------------------|------------------------------|------------------------|
| Promoters | 2 | 2 |
| Members (other than promoters) | 0 | 0 |
| Debenture holders | 0 | 0 |

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

| Category | Number of directors at the beginning of the year | | Number of directors at the end of the year | | Percentage of shares held by directors as at the end of year | |
|--|--|---------------|--|---------------|--|---------------|
| | Executive | Non-executive | Executive | Non-executive | Executive | Non-executive |
| A. Promoter | 0 | 0 | 0 | 0 | 0 | 0 |
| B. Non-Promoter | 0 | 0 | 0 | 0 | 0 | 0 |
| (i) Non-Independent | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Independent | 0 | 0 | 0 | 0 | 0 | 0 |
| C. Nominee Directors representing | 0 | 5 | 0 | 5 | 0 | 0 |
| (i) Banks & FIs | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Investing institutions | 0 | 0 | 0 | 0 | 0 | 0 |
| (iii) Government | 0 | 0 | 0 | 0 | 0 | 0 |
| (iv) Small share holders | 0 | 0 | 0 | 0 | 0 | 0 |
| (v) Others | 0 | 5 | 0 | 5 | 0 | 0 |
| Total | 0 | 5 | 0 | 5 | 0 | 0 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) Details of directors and Key managerial personnel as on the closure of financial year

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|----------------------|------------|------------------|--------------------------------|--|
| MR. MILIND SHIVARAM | 03632394 | Nominee director | 0 | |
| MR. KALIKINKAR PANI | 08436491 | Nominee director | 0 | |
| MR. GIRIJA SHANKAR | 06929759 | Nominee director | 0 | |
| MR. SANJAY SUKHDE | 02025125 | Nominee director | 0 | |
| MS. BINUTA PATRA | 08840974 | Nominee director | 0 | |
| MR. KETAN JAYANTKUL | AFKPS2409A | CEO | 0 | |
| MR. NALINI BIHARI TR | AAMPT8950E | CFO | 0 | |
| MR. MANISH VINODCH | ALQPM6555M | Company Secretar | 0 | |

(ii) Particulars of change in director(s) and Key managerial personnel during the year

6

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|---------------------|----------|--|---|--|
| MR. PREM KUMAR GE | 05323992 | Nominee director | 30/11/2020 | CESSATION |
| MR. SUSHIL KUMAR P | 08124021 | Nominee director | 31/08/2020 | CESSATION |
| MS. SONALI SAGAR JI | 08203177 | Nominee director | 31/08/2020 | CESSATION |
| MR. MILIND SHIVARAM | 03632394 | Nominee director | 01/01/2021 | APPOINTMENT |
| MR. GIRIJA SHANKAR | 06929759 | Nominee director | 01/09/2020 | APPOINTMENT |
| MS. BINUTA PATRA | 08840974 | Nominee director | 01/09/2020 | APPOINTMENT |

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

2

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance | |
|--------------------------------|-----------------|--|----------------------------|-------------------------|
| | | | Number of members attended | % of total shareholding |
| ANNUAL GENERAL MEETING | 24/07/2020 | 2 | 2 | 100 |
| EXTRA-ORDINARY GENERAL MEETING | 30/11/2020 | 2 | 2 | 100 |

B. BOARD MEETINGS

*Number of meetings held

6

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |
| 1 | 20/05/2020 | 5 | 5 | 100 |
| 2 | 24/07/2020 | 5 | 5 | 100 |
| 3 | 28/10/2020 | 5 | 5 | 100 |
| 4 | 25/11/2020 | 5 | 5 | 100 |
| 5 | 28/01/2021 | 5 | 4 | 80 |
| 6 | 24/03/2021 | 5 | 5 | 100 |

C. COMMITTEE MEETINGS

Number of meetings held

9

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance | |
|--------|----------------------|-----------------|---|----------------------------|-----------------|
| | | | | Number of members attended | % of attendance |
| 1 | SHARE ALLOTMENT | 01/01/2021 | 2 | 2 | 100 |
| 2 | CSR COMMITTEE | 24/07/2020 | 2 | 2 | 100 |
| 3 | CSR COMMITTEE | 24/03/2021 | 2 | 2 | 100 |
| 4 | PROJECT COORDINATING | 20/05/2020 | 4 | 4 | 100 |
| 5 | PROJECT COORDINATING | 24/07/2020 | 4 | 4 | 100 |
| 6 | PROJECT COORDINATING | 28/10/2020 | 4 | 4 | 100 |
| 7 | PROJECT COORDINATING | 27/11/2020 | 4 | 4 | 100 |
| 8 | PROJECT COORDINATING | 28/01/2021 | 4 | 4 | 100 |
| 9 | PROJECT COORDINATING | 24/03/2021 | 4 | 4 | 100 |

D. % ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings | | | Committee Meetings | | | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
| | | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | 22/07/2021 |
| | | | | | | | | (Y/N/NA) |
| 1 | MR. MILIND S | 2 | 2 | 100 | 0 | 0 | 0 | No |
| 2 | MR. KALIKIN | 6 | 6 | 100 | 8 | 8 | 100 | Yes |
| 3 | MR. GIRIJA S | 4 | 4 | 100 | 4 | 4 | 100 | Yes |
| 4 | MR. SANJAY | 6 | 6 | 100 | 5 | 5 | 100 | Yes |
| 5 | MS. BINUTA F | 4 | 3 | 75 | 1 | 1 | 100 | Yes |

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-------|-------------|--------------|------------|-------------------------------|--------|--------------|
| 1 | | | | | | | 0 |
| | Total | | | | | | |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|------------------|-------------|--------------|------------|-------------------------------|---------|--------------|
| 1 | MR. KETAN JAYAN | CEO | 3,104,116 | 0 | 0 | 37,359 | 3,141,475 |
| 2 | MR. NALINI BIHAR | CFO | 840,000 | 0 | 0 | 582,308 | 1,422,308 |
| 3 | MR. MANISH VINO | COMPANY SEC | 0 | 0 | 0 | 57,260 | 57,260 |
| | Total | | 3,944,116 | 0 | 0 | 676,927 | 4,621,043 |

Number of other directors whose remuneration details to be entered

0

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-------|-------------|--------------|------------|-------------------------------|--------|--------------|
| 1 | | | | | | | 0 |
| | Total | | | | | | |

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
| | | | | | |

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
| | | | | | |

XIII. Whether complete list of shareholders; debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

NIRAJ TRIVEDI

Whether associate or fellow

Associate Fellow

Certificate of practice number

3123

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

To be digitally signed by 

- Company Secretary
- Company secretary in practice

Membership number Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

| | |
|---------------------------------------|------------------------------------|
| <input type="button" value="Attach"/> | Shareholders List.pdf MGT-8.pdf |
| <input type="button" value="Attach"/> | |
| <input type="button" value="Attach"/> | |
| <input type="button" value="Attach"/> | |

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



GACL-NALCO Alkalies & Chemicals Private Limited

Corporate Office : 401-403, Yashkamal Building, Sayajigunj, Vadodara-390020, Gujarat, INDIA.

Regd. Office : GACL Corporate Building, P.O. Ranoli - 391350, Dist. Vadodara, Gujarat, INDIA.

Phone : + 91-265-2222435 Email : info@gnal.co.in Website : www.gnal.co.in

CIN : U24100GJ2015PTC085247

LIST OF SHAREHOLDERS AS ON 31.03.2021

| Sr. No. | Name and Address of the Shareholders | Number of Equity Shares held | Nominal Value per Share | Total Nominal Amount (Rs.) | DP ID / CLIENT ID |
|---------|--|------------------------------|-------------------------|----------------------------|------------------------|
| 1 | Gujarat Alkalies and Chemicals Limited, P.O. Petrochemicals -391346, Dist. Vadodara. | 41,40,00,000 | 10 | 414,00,00,000 | IN300484 / 12329359 |
| 2 | National Aluminium Company Limited, Nalco Bhavan, Nayapalli, Bhubaneswar – 751013 | 27,60,00,000 | 10 | 276,00,00,000 | IN301330 / 22226484 |
| | Total | 69,00,00,000 | | 690,00,00,000 | |

FOR GACL-NALCO ALKALIES & CHEMICALS PRIVATE LIMITED

Manish Mistry

(MANISH MISTRY)
COMPANY SECRETARY

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books and papers of **GACL-NALCO ALKALIES & CHEMICALS PRIVATE LIMITED** (CIN: U24100GJ2015PTC085247) (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31st March, 2021**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial period the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act;
 2. Maintenance of registers / records & making entries therein within the time prescribed therefor;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within ~~the prescribed time; except in two cases i.e. E-form CHG-1 and E-Form DPT-3, of which for Form DPT -3, the Company has filed the Form CFSS-2020, under the MCA General Circular No. 12/2020 dated 30th March, 2020 i.e. Companies Fresh Start Scheme (CFSS), 2020, for grant of immunity from prosecution under the Companies Act, 2013 and has obtained the Immunity Certificate under CFSS, 2020, on 25.06.2021 and for Form CHG-1 additional fees was paid;~~



4. Calling / convening / holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book / registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be. - **Not Applicable.**
6. Advances / loans to its directors and / or persons or firms or companies referred in section 185 of the Act; - **Not applicable for the year under review.**
7. Contracts / Arrangements with related parties as specified in section 188 of the Act.
8. Issue or allotment ~~or transfer or transmission or buy back~~ of securities / ~~redemption of preference shares or debentures /~~ alteration ~~or reduction~~ of share capital / ~~conversion of shares / securities~~ and ~~issue of security certificates /~~ credit of securities in DEMAT form to accounts of Shareholders in all instances;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;- **Not applicable for the year under review.**
10. Declaration / payment of dividend; transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;- **Not applicable for the year under review.**
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. ~~Constitution /~~ appointment / re-appointments/ ~~retirement/resignation/ filling up casual vacancies /~~ disclosures of the Directors, Key Managerial Personnel and remuneration paid to them;
13. Appointment / reappointment / filling up casual vacancies of auditors as per the provisions of section 139 of the Act; **Not applicable for the year under review.**
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; - **Not applicable for the year under review.**

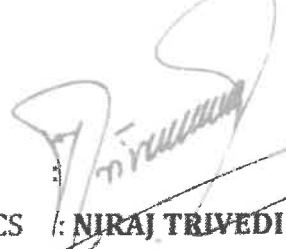


CS NIRAJ TRIVEDI
Practicing Company Secretary

15. Acceptance/ renewal / repayment of deposits; - **Not applicable for the year under review.**
16. Borrowings from its ~~directors, members, public financial institutions~~, banks and others and ~~creation~~/ modification / ~~satisfaction~~ of charges in that respect, wherever applicable;
17. Loans and investments or guarantees' given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act; - **Not applicable for the year under review.**
18. Alteration of the provisions of the Memorandum and / or Articles of Association of the Company.

Place: Vadodara
Date: 24/07/2021



Signature : 
Name of PCS : **NIRAJ TRIVEDI**
C. P. No. : 3123
P. R. No. : 1014/2020
UDIN : F003844C000682291



MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : T33562307

Service Request Date : 02/08/2021

Payment made into : HDFC Bank

Received From :

Name : NIRAJ TRIVEDI
Address : 219 SAFFRON COMPLEX
FATEHGUNJ Baroda
BARODA, Gujarat
India - 390002

Entity on whose behalf money is paid

CIN: U24100GJ2015PTC085247
Name : GACL-NALCO ALKALIES & CHEMICALS PRIVATE LIMITED
Address : GACL CORPORATE BUILDING
P.O. PETROCHEMICALS
VADODARA, Gujarat
India - 391346

Full Particulars of Remittance

Service Type: eFiling

| Service Description | Type of Fee | Amount(Rs.) |
|--|-------------|---------------|
| Fee for Form MGT-7 for the financial year ending on 2021 | Normal | 600.00 |
| Total | | 600.00 |

Mode of Payment: Internet Banking - HDFC Bank

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

Subject: Approval of SRN T33562307 dated 02/08/2021

From: MCA21 Administrator <MCAADM@MCA.GOV.IN>

Date: 03-08-2021, 13:21

To: <csneerajtrivedi@gmail.com>, <manish.mistry@gacl.co.in>, <sanjay100472@yahoo.com>

Dear Sir/ Madam,

This is to inform you that the eForm MGT-7 submitted on the MCA portal in respect of vide SRN T33562307 dated 02/08/2021 has been taken on file in a straight through process.

This is a MCA21 system generated mail for your information and necessary action. Please do not reply to this mail.

Note -The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar, then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer sub-rule 6 of rule 10 of the Companies (Registration offices and Fees) Rules, 2014)

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Thank you

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